



## NEW York Stock Exchange Securities

For Quarter Ending 12/31/2013

<b>Routing Venue</b>	<b>Total Non-Directed Orders</b>	<b>Market Total Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>Apex</b>	3.42%	3.63%	3.33%	2.81%
<b>Arca</b>	1.90%	0.00%	3.22%	0.00%
<b>Credit Suisse</b>	94.68%	96.37%	93.45%	97.19%

The table above shows the percentages of non-direct orders placed for securities listed on New York Stock Exchange Euronext.

NYSE Euronext Summary Statistic:

59.03 % of total non-directed customer orders were limit orders  
37.13 % of total non-directed customer orders were market orders  
3.84% of total non-directed customer orders were other orders

100% of total customer's orders were non-directed orders

Wang Investment Associates receives financial remuneration from Credit Suisse averaged less than \$.00145 per share.



## NASDAQ Stock Exchange Securities

For Quarter Ending 12/31/2013

<b>Routing Venue</b>	<b>Total Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>Apex</b>	3.69%	3.95%	3.54%	3.68%
<b>Arca</b>	3.18%	0.00%	5.22%	0.00%
<b>Credit Suisse</b>	93.12%	96.05%	91.24%	96.32%

The table above shows the percentages of orders placed for securities listed on the Nasdaq Stock Market.

### Nasdaq Summary Statistic:

- 61.04% of total non-directed customer orders were limit orders
- 35.15% of total non-directed customer orders were market orders
- 3.81% of total non-directed customer orders were other orders

100% of total customers were non-directed

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## NYSE AMEX or Regional Exchanges

For Quarter ending 12/31/2013

Routing Venue	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
Apex	3.51%	3.93%	3.36%	2.61%
Arca	4.43%	0.00%	6.55%	0.00%
Credit Suisse	92.06%	96.07%	90.09%	97.39%

The table above shows the percentages of orders placed for securities listed on NYSE AMEX or Regional Exchanges

NYSE AMEX or Regional Exchanges Summary Statistic:

67.67% of total non-directed customer orders were limit orders

29.25% of total non-directed customer orders were market orders

3.09% of total non-directed customer orders were other orders

100% of total customers were non-directed

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## Exchange Listed Options

For Quarter ending 12/31/2013

<b>Routing Venue</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
<b>Apex</b>	100%	100.00%	100%	100%

Exchange Listed Options Summary Statistic:

69.10 % of total non-directed customer orders were limit orders

29.61 % of total non-directed customer orders were market orders

1.29 % of total non-directed customer orders were other orders

100% of total customer's option orders were non-directed