

Account Number

Date

PENNY FOR THE LOT

Customer Instruction and Acknowledgement

You and your broker are unable to locate a ready market or an independent transfer agent employed by the company(ies) listed below to conduct a stock transfer. You hereby inform Apex that there is no market or transfer agent for these securities, and you wish to sell them to Apex Clearing Corporation ("Apex") as "worthless securities" for tax purposes. You hereby instruct Apex to sell the security(ies) from your account to Apex for one penny for the lot. As a result of this sale, you will have no further claim to the securities, and Apex makes no claims or predictions concerning the future value of the security.

Please check with your tax advisor to confirm that this course of action will produce no unintended results on your tax return. Please fill out the information below and send to securitymaster@apexclearing.com.

Number of Shares	Security Description	Symbol / CUSIP
	to sell to Apex, and request(s) that Apex purce of one penny (\$0.01). The undersignent the amounts stated.	
	to hold Apex harmless from and against an of any kind which arise out of, or are in any	
Shareholder Name	Shareholder Signature	Date
Joint Shareholder Name	Joint Shareholder Signature	Date
NOTARY SIGNATURE - NOTARY SEAL		
Internal Use Only		
Registered Principal Approval	Print Name	Title
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	Signature	Date
	<u> </u>	
Compliance Officer Approval	Print Name	Title

Signature