

# DRS REQUEST FORM

(**D**irect **R**egistration **S**ystem Request Form)

\*Please note that the following will be required to initiate a DRS request\*

1. All fields below must be completed.

2. Copy of most recent statement (dated within the past 3 months), of the account transferring from. Statement must display the account title (owner) and position(s) to be transferred.

3. Please note there is a \$50.00 SogoTrade DRS Fee plus a Transfer Agent Fee ranging from \$0-150 per request.

#### 1. Information about SogoTrade account:

SogoTrade Account Name:

SogoTrade Account Number:

SSN / Tax ID:

### 2. Information about the Delivery Account:

Delivery Account Name:			
Account Number:	Name of Transfer Agent:		
Address of Transfer Agent:			
City, State, ZIP	Phone Number:		

### 3. Information about the Delivering Security:

Name of Shareholder	
Acquisition Date	
Quantity*	
Symbol / CUSIP / Description	
*NIA Franciscus I Olympia	

\*No Fractional Shares.

## 4. Deposited Securities Request Questionnaire

Is this a bulletin board security?	
Is this a pink sheet security?	
Is this an unregistered security?	

## 5. Signature

SOGO-DRS 01/12/2022

By signing this form, I/We agree to hold all parties acting on this request, including the introducing SogoTrade, Inc. and Apex Clearing Corporation, and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with actions taken by the parties due to instructions received from me in this request. Unless otherwise indicated in the instructions, please transfer all assets in my account to Apex Clearing I/We understand that to the extent any assets in my account are not readily transferable with or without penalities; such assets may not be transferred within the time frames required by NYSE Rule 412 or similar rule of FINRA or other designated examining authority. I/We authorize you to deduct any outstanding fees due you from the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. I/We understand the SogoTrade DRS Fee and Fee are non-refundable once the request is initiated.

SogoTrade, Inc. Member: FINRA/SIPC | 1 McBride and Son Center Drive, Suite 288, Chesterfield, MO 63005 Tel. 1.646.885.6486 | Fax. 1.646.885.2749 | E-Mail services@sogotrade.com | www.sogotrade.com



All questions below must be answered by the delivery account holder.

1. How did you obtain these shares?

2. If these shares were obtained/purchased from another person, please provide the name of the former stock owner.

3. When did you obtain/purchase these shares? Can you provide all the supporting documents for obtaining/purchasing these shares?

4. After successfully depositing in these shares, will you continue to buy more or sell them?

5. Have you ever worked in this publicly traded company or any subsidiary company (such as the parent company or other cooperative companies)? If you have worked or currently working at this company, what is your position? Are you a member of the board of directors, a shareholder, a controller or hold more than 5% of the shares?

6. Do you have any family members who are managers, directors, employees, controllers or shareholders who own more than 10% of this publicly traded company? If so, please tell us your relationship with the family member(s).

7. Apart from the shares that you are transferring, do you own more shares elsewhere? If so, please tell us the total number of shares you own.

# 8. Continue from question 7, will you deposit or transfer more shares of this stock into your SogoTrade account?

#### Signature

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Primary Holder Signature	Date	Joint Holder Signature	Date		
Internal Use Only Registered Principal Approva	I				
Name	Signature	Date			
2 <sup>nd</sup> Registered Principal / Con	npliance Officer Approval				
Name	Signature	Date			
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