

Account Name(s): _____

Account Number: _____

To: SogoTrade, Inc.

I understand that a day trade occurs when I open and close the same position on the same trading day.

I also understand that if I execute 4 or more day trades within a rolling 5 business day period, my account will be identified as a Pattern Day Trader (PDT) account as defined by the Financial Industry Regulatory Authority (FINRA).

I further understand that a PDT account must begin the day with \$25,000 minimum equity to be permitted to day trade.

I also understand that if a PDT account does a day trade on a day the initial equity is less than \$25,000, the account will be closed for 3 months, limited to closing transactions only.

I hereby request that the current Pattern Day Trader (PDT) status be removed from my account and I understand that:

- If I subsequently execute 4 or more day trades within a rolling 5 business day period, my account will be re-identified as a Pattern Day Trader (PDT) account.
- I will not be permitted to submit another Removal of Pattern Day Trader Status form for 90 calendar days.

Thank you,

Account Holder Signature _____ Date _____
(mm/dd/yyyy)Account Co-Holder Signature _____ Date _____
(mm/dd/yyyy)