



## SOGOTRADE PRIVACY POLICY

### Privacy Statement

As a regulated financial institute, SogoTrade must obtain your personal and financial information ("Personal Information") to support your account and otherwise conduct our business. We have prepared this Privacy Policy to demonstrate our commitment to the security and privacy of your Personal Information.

### Privacy Notices

Please review the SogoTrade Privacy Notice by [clicking here](#). The notice is provided in accordance with federal law for those who seek, apply for, or obtain our financial products and services, and describes how SogoTrade shares your Personal Information and how you can limit such sharing. Changes to our Privacy Notice will become effective, as indicated by the revision date.

### How We Collect Personal Information

Personal Information is information that may be used to identify you, such as your name, email address, financial information, or other data that can be linked to you. We collect your Personal Information to service your account(s) and provide financial products or services.

We may collect your Personal Information through our website, applications, and by opening and servicing your account(s). You may provide certain information to us when opening an account and when contacting us by phone, online, or by other methods.

We may collect Personal Information and information about your devices, browsers, and Internet activity when you use our website and applications. We may use cookies and similar technology to collect information about our online services, including the date and time of visits, pages viewed, and general information about how our online services were accessed. Cookies may also be used to support the functionality of our website and to provide marketing information. You may disable or adjust cookies on your website browser.

We may collect analytics data or use third-party tools to help us measure traffic and usage on our website and online advertising.

### How We Use Your Personal Information

We may use your Personal Information to support and service your account(s) at SogoTrade and to market to you. We may also share your Personal Information with affiliates and non-affiliates. Please review [SogoTrade's Privacy Notice](#) for more information about how we use and share your Personal Information, and how to opt out of sharing.

### Safeguarding Your Personal Information

SogoTrade employees are restricted from accessing your Personal Information, except as required for business purposes. SogoTrade employees are prohibited from disclosing customer information outside of the business purposes described in the Privacy Notice.

SogoTrade maintains security standards to protect your Personal Information, whether in written, spoken, or electronic form. We update and review our systems to ensure the continuing confidentiality and integrity of your Personal Information.

### SogoTrade and Affiliate Companies

SogoTrade and SogoTrade Asset Management ("SAM") are affiliated companies. SogoTrade may share your Personal Information with SAM subject to the conditions described in the Privacy Notice. SAM maintains a separate privacy policy and notice at <http://www.marketridders.com/privacy>.

## Personal Information About Children

SogoTrade's website is not intended for children under thirteen years of age. We do not knowingly collect Personal Information from children under thirteen unless provided by a parent, legal guardian, or other authorized adult. If you believe we have any Personal Information from a child under thirteen, please contact us at [services@sogotrade.com](mailto:services@sogotrade.com).

## European Union ("EU") General Data Protection Regulation ("GDPR") Notice

If you are a resident or citizen of the EU, you have the following data protection rights:

- Right to request access to your Personal Information.
- Right to request rectification of incorrect, inaccurate, or incomplete Personal Information.
- Right to request the erasure of your Personal Information under certain circumstances.
- Right to restrict or object to the processing of your Personal Information under certain circumstances.
- Right of portability, or to have your Personal Information transferred in a machine-readable format to you or your chosen third party.
- Right to withdraw consent from the usage of your Personal Information.
- Right to lodge a complaint with a supervisory authority.

The exercise of these rights is subject to SogoTrade's data retention or other requirements under applicable laws and regulations of the United Securities and Exchange Commission, and other regulatory bodies. If you wish to exercise these rights, you may contact our Data Protection Officer by emailing us at [services@sogotrade.com](mailto:services@sogotrade.com) or calling us at 1-646-885-6594.

SogoTrade is based in the United States. If you open an account with SogoTrade, or otherwise seek, apply for, or obtain SogoTrade's products and services, your information will be transferred and maintained in the United States.

You may review [SogoTrade' Privacy Notice](#) for further information the collection, use, and sharing of your Personal Information.

## Questions

If you have any questions about this Privacy Policy or suspect that your Personal Information has been disclosed or otherwise tampered with, please email us at [services@sogotrade.com](mailto:services@sogotrade.com) or call us at 1-646-885-6594.

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