

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Rev. October 2021)

► For use by individuals. Entities must use Form W-8BEN-E.

OMB No. 1545-1621

Department of the Treasury
Internal Revenue Service

► Go to www.irs.gov/FormW8BEN for instructions and the latest information.

► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:

Instead, use Form:

- You are NOT an individual W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4
- You are a person acting as an intermediary W-8IMY

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.		
City or town, state or province. Include postal code where appropriate.		Country
4 Mailing address (if different from above)		
City or town, state or province. Include postal code where appropriate.		Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		
6a Foreign tax identifying number (see instructions)	6b Check if FTIN not legally required <input type="checkbox"/>	
7 Reference number(s) (see instructions)	8 Date of birth (MM-DD-YYYY) (see instructions)	

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____.

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here ▶

I certify that I have the capacity to sign for the person identified on line 1 of this form.

Signature of beneficial owner (or individual authorized to sign for beneficial owner)

Date (MM-DD-YYYY)

Print name of signer

W-8BEN 表格填写参考

(本说明仅供 SogoTrade 客户填写 W-8BEN 表格参考之用。如需详细官方说明，请参阅 IRS 网站。)

**** 为必填栏位**

****1. Name of Individual who is the Beneficial Owner**

- 账户持有人英文全名。名在前，姓在后，以护照名字为准。

****2. Citizenship**

- 国籍。如您拥有双重国籍，请填写您永久居住的国家国籍。

****3. Permanent Residence Address**

- 永久居住地址。您的永久居住地址是您声称申报国家/地区所得税的地址。请不要填写金融机构的地址，邮局信箱地址或仅用于邮寄用途的地址。

4. Mailing Address

- 邮寄地址。如果和永久居住地址一样则不用填写。

5. U.S. Taxpayer Identification Number

- 如果您拥有美国社会安全号码 (SSN) 或个人报税号码 (ITIN)，请填写号码在此栏。如您没有美国报税号码请留空白。

****6a. Foreign Tax Identification Number**

- 请填写您所在国家/地区提交所得税时使用的报税号码。如果您是中国、台湾或者香港的公民，外国税号可填写身份证号。

6b. Check if FTIN not legally required

目前，美国国税局只承认四个不颁发外国税号的国家，澳大利亚，百慕大，英属维尔京群岛和开曼群岛。如您永久居住地址(第3项)申报在这四个司法管辖区，您可以勾选此方格。无论任何原因您无法填写外国税号，请写上合理的解释并提供官方证明文件。如果未提供外国税号亦没有提供合理解释，您账户中收到的美国来源收入将会被收取最高预扣税。我们的清算公司Apex不会向平仓证券时的所得收入扣税。

****7. Reference Number**

- 请填写“5”开头共八位英数混合的SogoTrade账户号码。

****8. Date of Birth**

- 出生日期，格式为月月 - 日日 - 年年年年。

****9. Residence Country for certifying Tax Treaty Benefits**

- 所须报税国家/地区名称，国家/地区名字应该和第3项永久居住地址国家一致。

10. Special rates and conditions

- 特别税率和状况，如没有则留空白。

****I certify that I have the capacity to sign for the person identified on line 1 of this form.**

(我证明我有能力代表本表格第 1 行中指明的人签名。)

- 亲笔签名前勾选此方格。

****Sign Here >**

- 请在旁边横线上签名。必须打印表格出来亲笔签名，我们不接受任何电子签名或复制贴上签名。

****Date (MM-DD-YYYY)**

- 签名日期，格式为月月 - 日日 - 年年年年。

****Print Name of Signer**

- 填上签名者名字，名在前，姓在后。