

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Rev. October 2021)

► For use by individuals. Entities must use Form W-8BEN-E.

OMB No. 1545-1621

Department of the Treasury
Internal Revenue Service

► Go to www.irs.gov/FormW8BEN for instructions and the latest information.

► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:

Instead, use Form:

- You are NOT an individual W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4
- You are a person acting as an intermediary W-8IMY

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner		2 Country of citizenship
3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.		
City or town, state or province. Include postal code where appropriate.		Country
4 Mailing address (if different from above)		
City or town, state or province. Include postal code where appropriate.		Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)		
6a Foreign tax identifying number (see instructions)	6b Check if FTIN not legally required <input type="checkbox"/>	
7 Reference number(s) (see instructions)	8 Date of birth (MM-DD-YYYY) (see instructions)	

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.

10 Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____.

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

Sign Here ▶

I certify that I have the capacity to sign for the person identified on line 1 of this form.

Signature of beneficial owner (or individual authorized to sign for beneficial owner)

Date (MM-DD-YYYY)

Print name of signer

W-8BEN 表格填寫參考

(本說明僅供 SogoTrade 客戶填寫 W-8BEN 表格參考之用。如需詳細官方說明，請參閱 IRS 網站。)

** 為必填欄位

**1. Name of Individual who is the Beneficial Owner

- 賬戶持有人英文全名。名在前，姓在後，以護照名字為準。

**2. Citizenship

- 國籍。如您擁有雙重國籍，請填寫您永久居住的國家國籍。

**3. Permanent Residence Address

- 永久居住地址。您的永久居住地址是您聲稱申報國家/地區所得稅的地址。請不要填寫金融機構的地址，郵局信箱地址或僅用於郵寄用途的地址。

4. Mailing Address

- 郵寄地址。如果和永久居住地址一樣則不用填寫。

5. U.S. Taxpayer Identification Number

- 如果您擁有美國社會安全號碼(SSN)或個人報稅號碼(ITIN)，請填寫號碼在此欄。如您沒有美國報稅號碼請留空白。

**6a. Foreign Tax Identification Number

- 請填寫您所在國家/地區提交所得稅時使用的報稅號碼。如果您是中國、台灣或者香港的公民，外國稅號可填寫身份證號。

6b. Check if FTIN not legally required

目前，美國國稅局只承認四個不頒發外國稅號的國家，澳大利亞，百慕大，英屬維爾京群島和開曼群島。如您永久居住地址(第3項)申報在這四個司法管轄區，您可以勾選此方格。無論任何原因您無法填寫外國稅號，請寫上合理的解釋並提供官方證明文件。如果未提供外國稅號亦沒有提供合理解釋，您賬戶中收到的美國來源收入將會被收取最高預扣稅。我們的清算公司Apex不會向平倉證券時的所得收入扣稅。

**7. Reference Number

- 請填寫“5”開頭共八位英數混合的SogoTrade賬戶號碼。

**8. Date of Birth

- 出生日期，格式為月月-日日-年年年年。

**9. Residence Country for certifying Tax Treaty Benefits

- 所須報稅國家/地區名稱，國家/地區名字應該和第3項永久居住地址國家/地區一致。

10. Special rates and conditions

- 特別稅率和狀況，如沒有則留空白。

**I certify that I have the capacity to sign for the person identified on line 1 of this form.

(我證明我有能力代表本表格第1行中指明的人簽名。)

- 親筆簽名前勾選此方格。

**Sign Here >

- 請在旁邊橫線上簽名。必須打印表格出來親筆簽名，我們不接受任何電子簽名或複製貼上簽名。

**Date (MM-DD-YYYY)

- 簽名日期，格式為月月-日日-年年年年。

**Print Name of Signer

- 填上簽名者名字，名在前，姓在後。